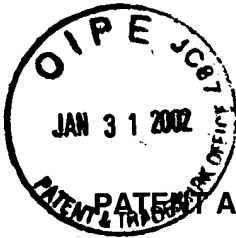


#5 2/22



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Inventor(s): Hal Joseph Burch  
William R Cheswick

Case: 2-9

Serial No.: 09/901286

Filing Date: July 9, 2001

Examiner: Group Art Unit: 2122

Title: Method And Apparatus For Tracing Packets In A Communications Network

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SIR:

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(b)**

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed.

**NO FEE IS REQUIRED**

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **Lucent Technologies Deposit Account No. 12-2325** as required to correct the error.

Respectfully,

Kenneth M. Brown, Attorney  
Reg. No. 37590  
908-582-5998

Date: 1/4/02

Docket Administrator (Room 3J-219)  
Lucent Technologies Inc.  
101 Crawfords Corner Road  
Holmdel, NJ 07733-3030

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on 1-7-02 (Date of Deposit)  
Bumf Jackson 1-7-02  
Date



<b>INFORMATION DISCLOSURE STATEMENT</b>	Case Name.	H.J. Burch 2-9
	Serial No.	09/901286
	Applicant:	H.J. Burch, et al.
	Filing Date:	July 9, 2001
	Group:	2122

### U.S. PATENT DOCUMENTS

*Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date

### FOREIGN PATENT DOCUMENTS

Document Number	Date	Country	Class	Subclass	Translation

### OTHER (including Author, Title, Date, Pertinent Pages, etc.)

AA	Cheswick, B., Burch, H., and Branigan S., "Mapping and Visualizing the Internet", USENIX Annual Technical Conference, June, 2000.
AB	Postel, J., "RFC 791: Internet Protocol," The Internet Society, Sept. 1981.
AC	Postel, J., "RFC 768: User Datagram Protocol," The Internet Society, Aug 1980.
AD	Postel, J., "RFC 792: Internet Control Message Protocol," The Internet Society, Sept. 1981.
AE	Postel, J., "RFC 864: Character Generator Protocol," The Internet Society, May 1983.
AF	Claffy, K. "Internet measurement and data analysis: topology, workload, performance and routing statistics," NAE '99 workshop.
AG	CERT, "Smurf IP Denial-of-Service Attacks," CERT advisory CA098.01, Jan, 1998.
AH	CERT, "Results of the Distributed-Systems Intruder Tools Workshop", The CERT Coordination Center, Dec. 1999.
AI	Ferguson, P. and Senie, D. "RFC 2267: Network Ingress Filtering: Defeating Denial of Service Attacks which employ IP Source Address Spoofing." The Internet Society, Jan. 1998.
AJ	CERT, "TCP SYN Flooding and IP Spoofing Attacks," CERT Advisory CA-96.21, Sept. 1996.
AK	CERT, "IP Spoofing Attacks and Hijacked Terminal Connections," CERT Advisory CA-95.01, Jan, 1995.

\*\*\*References listed beyond AZ would list as AA-1, AB-2, AC-3 thru AZ-26.

\*\*\*Note First Page ONLY Header/Footer. Subsequent pages must ONLY have page # layout as

EXAMINER	DATE CONSIDERED

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant